

## Joint Conference Committee Regulatory Affairs Status Report for May 2022 Meeting

I. PENDING SURVEYS			
1. <b>TJC Biennial Laboratory Accreditation</b>		Anticipate after June/July 2021	
2. <b>CMS 4A SNF Recertification</b>		Anticipate any time	
3. <b>CMS 4A SNF Fire Life Safety</b>		Anticipate 2-3 weeks after SNF Recertification Survey	
4. <b>CMS Dialysis Recertification</b>		Anticipate any time	
II. COMPLETED SURVEYS			
1. <b>TJC Complaint</b> Unsafe working conditions due to broken dumbwaiter (SPD)	5/9/2022	Accepted with no further action required	
2. <b>Privacy Breach</b> Document with PHI mis-handed to wrong patient (DoCC)	2/8/2022	Substantiated with no deficiencies	
III. PLANS OF CORRECTION			
None			
IV. OPEN SITE VISITS – Investigation commenced by CDPH			
1. <b>Complaint</b> ED Staffing	1/2/2020	Investigation resumed by different surveyor 6/08/21	
2. <b>FRI</b> Patient Death following Med Error	4/17/2020	Ongoing – Anticipate Deficiencies	
3. <b>FRI</b> Wrong Site Surgery OR Kidney Stent	4/5/2020	Documents Requested	
4. <b>Privacy Breach</b> 7L	3/15/2020	Pending Visit	
5. <b>Privacy Breach</b> HIS	4/24/2020	Pending Visit	
6. <b>ABUSE</b> Allegation of Staff to Resident Abuse (4A)	6/1/2020	Documents Requested	
7. <b>FRI</b> Wrong Site Surgery OR Kidney Stent	6/8/2020	Verbal- Sub. With deficiencies; pending approval	
8. <b>ABUSE</b> Allegation of Staff to Resident Abuse (4A)	7/17/2020	Documents Requested	
9. <b>FRI</b> <b>Fall sustaining fractured mandible (H54)</b>	<b>8/13/2020</b>	<b>Documents Requested</b>	
10. <b>FRI</b> Fall (4A)	9/8/2020	Pending Visit	
11. <b>Privacy Breach</b> Appointment information sent to wrong patient (3D)	9/8/2020	Pending Visit	
12. <b>Privacy Breach</b> PHI sent via email to incorrect patient	10/4/2020	Information Requested	
13. <b>FRI</b> Alleged Sexual Assault (7B)	11/10/2020	Pending Visit	
14. <b>Privacy Breach</b> Test Result provided to patient and relative overheard (ED)	11/02/2020	Pending Visit	
15. <b>FRI</b> HAPI (H34)	11/17/2020	Pending Visit	
16. <b>FRI</b> <b>Fall with Injury (4A)</b>	<b>2/10/2021</b>	<b>Documents Requested; Interview- pending</b>	
17. <b>Privacy Breach</b> Allegation that PHI was released without consent (PES)	7/16/2020	Pending Visit	
18. <b>Privacy Breach</b> Staff provided information via phone without consent (7B)	1/29/2021	Information Requested	
19. <b>Privacy Breach</b> Medication given to wrong patient (PACU) <sup>(drug was correct drug)</sup>	2/2/2021	Information Requested	
20. <b>Privacy Breach</b> Misplaced patient list and labels (H76/78)	2/10/2021	Information Requested	
21. <b>Privacy Breach</b> Appointment slip given to wrong patient (7B)	2/12/2021	Information Requested	
22. <b>Privacy Breach</b> Medication given to wrong patient (H25) <sup>(drug was correct drug)</sup>	2/20/2021	Information Requested	
23. <b>FRI</b> Medication Error (ICU)	3/23/2021	On-site visit- 4/14/22	
24. <b>Abuse</b> Resident to Resident (4A)	4/7/2021	Pending Visit	

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25. FRI	Product/Device – Blood Transfusion Event (IR)	4/3/2021	Pending Visit
26. FRI	HAPI Right Heel, (H54)	4/8/2021	Pending Visit
27. FRI	HAPI Left Heel (H62)	4/22/2021	Pending Visit
28. Privacy Breach	Merged information records with a different patient	4/23/2021	Pending Visit
29. Complaint	Infection Control (4M)	6/10/2021	Pending Visit
30. FRI	HVAC Fan Malfunction, B-Wing, Building 5	6/12/2021	Documents Requested
31. Privacy Breach	Patient's EKG results sent to wrong cell phone number	6/15/2021	Pending Visit
32. Privacy Breach	Merged information records with a different patient	5/7/2021	Pending Visit
33. FRI	Gastric perforation post ET insertion during intubation	7/17/2021	Pending Visit
34. FRI	COVID-19 Outbreak Notification (7B)	7/25/2021	Verbal- No deficiencies; supervisor approved
35. FRI	Surgical Complication during spinal surgery	8/3/2021	Pending Visit
36. Complaint	Consumer complaint regarding a physician	8/10/2021	Pending Visit
37. FRI	HAPI Stage 2 coccyx	8/29/2021	Pending Visit
38. FRI	Elopement/AWOL (ED)	8/30/2021	Verbal- No deficiencies; pending approval
39. Privacy Breach	Mis-mailed PHI regarding upcoming procedure, (3D)	9/1/2021	Pending Visit
40. FRI	Alleged Staff to Patient Sexual Assault (H54)	9/15/2021	Documents Requested
41. FRI	Elopement/AWOL (H34)	9/18/2021	Pending Visit
42. FRI	Fall with intertrochanteric fracture (7C)	10/7/2021	Documents Requested
43. FRI	HAPI Unstageable coccyx and left ischium	9/29/2021	Verbal- No deficiencies; pending approval
44. FRI	<b>Retained penrose drain from surgery in May 2020</b>	<b>11/2/2021</b>	<b>Documents and Interview Requested</b>
45. FRI	Allegation of abuse by family member (H42)	4/1/2021	Pending Visit
46. FRI	Use of Force (7L)	1/5/2022	Pending Visit
47. FRI	Elopement/AWOL ED	1/6/2022	Pending Visit
48. FRI	Patient to Patient Sexual Assault (7B)	1/11/2022	Pending Visit
49. Privacy Breach	Unauthorized disclosure by staff (H32)	12/8/2021	Pending Visit
50. FRI	HAPI Stage 3 on coccyx and left ischium (H78)	1/19/2022	Pending Visit
51. FRI	4A COVID Outbreak Notification	1/20/2022	Documents Requested
52. FRI	Procedure performed on the wrong patient (4M)	1/24/2022	Pending Visit
53. FRI	HAPI unstageable coccyx (H54)	2/7/2022	Pending Visit
54. Privacy Breach	Mis-handed Patient Itinerary (5M)	2/9/2022	Pending Visit
55. Privacy Breach	Unauthorized access to medical record by 2 staff members (NICU)	1/24/2022	Pending Visit
56. FRI	HAPI unstageable to sacrum (H76)	2/25/2022	Pending Visit
57. FRI	HAPI unstageable to coccyx	3/7/2022	Pending Visit
58. FRI	Unusual Occurrence- Protestors in (6G)	3/15/2022	Actions Requested
59. Privacy Breach	Unauthorized access into multiple patient records (DoCC)	3/24/2022	Pending Visit
60. FRI	Patient to Patient Sexual Assault (7B)	3/30/2022	Pending Visit
61. FRI	Elopement/AWOL (7B)	4/4/2022	Pending Visit

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62. TJC Complaint	RN did not check BS resulting in patient having hypoglycemic seizures (ED)	4/5/2022	Response Submitted 5/17/22
63. FRI	Fall with subdural bleed (7C)	4/15/2022	Pending Visit
64. FRI	Patient to Patient Sexual Assault (7B)	4/15/2022	Referred to TJC for RCA Review
<b>V. NEW FACILITY REPORTED INCIDENTS (FRI)</b>			
		COMPLAINT: submitted by public.	FRI: Facility Reported Incident. ABUSE: Long Term Care CMS self report
1. FRI	Retained Foreign Object- Wound Vac Sponge (H42/44)	3/14/2021	
2. TJC Complaint	Staffing ratios, GI fellows/residents as assists, RN disruption in monitoring for mod. sedation (Endoscopy)	no date	
3. Privacy Breach	Unauthorized access impacting 61 patient files (UCSF Research)	1/27-3/17/22	
4. FRI	Unusual Occurrence- Patient injury during transport: tibia fx	3/27/2022	
5. FRI	Elopement/AWOL (H54)	5/5/2022	
6. FRI	Patient to Patient Sexual Assault (7B)	5/6/2022	
<b>VI. PLAN OF CORRECTION MONITORING DATA</b>			
<b>Joint Commission Hospital Accreditation Program Survey</b>			
Interpreter Use Documentation		Compliance is <90%	<p><b>Continue to monitor</b> D. Staconis</p> <p>Compliance increased from 40% to 60%</p> <p>Best Practice Advisory (BPA) reminds RNs to document Interpreter use when "Interpreter Needed = Yes"; 3/18/22</p> <p>Staff re-education and coaching to improve documentation of interpreter use</p> <p>Will conduct further audits for deep dive in accuracy of Story Board language flag</p>
Autopsy Request Completion		Compliance is <90%	<p><b>Continue to monitor</b> D. Lovko-Premeau</p> <p>-Challenges to signed autopsy form not specific to one unit</p> <p>-Families that choose autopsy days after expiration are directed to HIMS</p> <p>Policy review completed</p> <p>Education on Policy with Clinical Team</p> <p>Death Navigator review not always functional for provider in EPIC</p> <p>Utilize DocuSign for families that cannot sign while patient is on unit</p> <p>Unresolved issues- What is the best option for family to request an autopsy days after expiration?</p>