

Joint Conference Committee

Regulatory Affairs Status Report for December 2021 Meeting

I. PENDING SURVEYS

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|--|---|
| 1. TJC Biennial Laboratory Accreditation Survey | Anticipate after June/July 2021 |
| 2. CMS 4A SNF Recertification | Anticipate any time |
| 3. CMS 4A SNF Fire Life Safety | Anticipate 2-3 weeks after SNF Recertification Survey |
| 4. CMS Dialysis Recertification | Anticipate any time |
| 5. CDPH Rehab Licensing Survey | Anticipate January 10, 2022 |

II. COMPLETED SURVEYS

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|---|---------------|---------------------------------|
| 1. CDPH VFC at FHC | 11/15/2021 | Closed- No Deficiencies |
| 2. OBOT DHCS | 11/16-18/2021 | Closed- Official Report Pending |
| 3. FRI Fall with Injury H64 | 10/29/2020 | Closed- No Deficiencies |
| 4. FRI Fall with Hip Fracture ED | 4/13/2021 | Closed- No Deficiencies |

III. PLANS OF CORRECTION

None

IV. OPEN SITE VISITS – Investigation commenced by CDPH

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|---------------------------|--|------------|---|
| 1. Complaint | ED Staffing | 1/2/2020 | Investigation resumed by different surveyor 6/08/21 |
| 2. FRI | Patient Death following Med Error | 4/17/2020 | Ongoing – Anticipate Deficiencies |
| 3. FRI | Wrong Site Surgery OR Kidney Stent | 4/5/2020 | Documents Requested |
| 4. Privacy Breach | 7L | 3/15/2020 | Pending Visit |
| 5. Privacy Breach | HIS | 4/24/2020 | Pending Visit |
| 6. ABUSE | Allegation of Staff to Resident Abuse 4A | 6/1/2020 | Documents Requested |
| 7. FRI | Wrong Site Surgery OR Kidney Stent | 6/8/2020 | Documents Requested and onsite survey commenced |
| 8. ABUSE | Allegation of Staff to Resident Abuse 4A | 7/17/2020 | Documents Requested |
| 9. FRI | HAPI H38 | 8/19/2020 | Documents Requested |
| 10. FRI | Fall sustaining fractured mandible H54 | 8/13/2020 | Documents Requested |
| 11. FRI | Fall, 4A | 9/8/2020 | Pending Visit |
| 12. Privacy Breach | 3D – appointment information sent to wrong patient | 9/8/2020 | Pending Visit |
| 13. Privacy Breach | PHI sent via email to incorrect patient | 10/4/2020 | Information Requested |
| 14. FRI | Alleged Sexual Assault 7B | 11/10/2020 | Pending Visit |
| 15. Privacy Breach | Test Result provided to patient and relative overheard ED | 11/02/2020 | Pending Visit |
| 16. FRI | Retained Foreign Object H22 | 11/17/2020 | Referred to Joint Commission for Follow Up |
| 17. FRI | HAPI H34 | 11/17/2020 | Pending Visit |
| 18. FRI | Fall with Injury 4A | 2/10/2021 | Pending Visit |
| 19. Privacy Breach | PES Allegation that phi was released without consent | 7/16/2020 | Pending Visit |
| 20. Privacy Breach | 7B staff provided information via phone without consent | 1/29/2021 | Information Requested |
| 21. Privacy Breach | PACU medication given to wrong patient (drug was correct drug) | 2/2/2021 | Information Requested |

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22.	Privacy Breach	H76/78 Misplaced patient list and labels	2/10/2021	Information Requested
23.	Privacy Breach	7B appointment slip given to wrong patient	2/12/2021	Information Requested
24.	Privacy Breach	H25 medication given to wrong patient (drug was correct drug)	2/20/2021	Information Requested
25.	FRI	Medication Error ICU	3/23/2021	Pending Visit
26.	Abuse	Resident to Resident 4A	4/7/2021	Pending Visit
27.	FRI	Product/Device – Blood Transfusion Event IR	4/3/2021	Pending Visit
28.	FRI	HAPI Right Heel, H54	4/8/2021	Pending Visit
29.	FRI	HAPI Left Heel H62	4/22/2021	Pending Visit
30.	Privacy Breach	Merged information records with a different patient	4/23/2021	Pending Visit
31.	Complaint	Infection Control – 4M	6/10/2021	Pending Visit
32.	FRI	HVAC Fan Malfunction, B-Wing, Building 5	6/12/2021	Documents requested
33.	Privacy Breach	Patient’s EKG results sent to wrong cell phone number	6/15/2021	Pending Visit
34.	Privacy Breach	Merged information records with a different patient	5/7/2021	Pending Visit
35.	FRI	Gastric perforation post ET insertion during intubation	7/17/2021	Pending Visit
36.	FRI	GI perforation post G-tube placement	7/25/2021	Pending Visit
37.	Privacy Breach	Unauthorized disclosure by staff member, Peri-Op	7/28/2021	Pending Visit
38.	FRI	Surgical Complication during spinal surgery	8/3/2021	Pending Visit
39.	Complaint	Consumer complaint regarding a physician	8/10/2021	Pending Visit
40.	FRI	Elopement/AWOL ED	8/18/2021	Pending Visit
41.	Privacy Breach	Unauthorized disclosure of a staff member’s PHI, 6M	8/26/2021	Pending Visit
42.	FRI	HAPI Stage 2 coccyx	8/29/2021	Pending Visit
43.	Privacy Breach	Mis-mailed PHI regarding upcoming procedure, 3D	9/1/2021	Pending Visit
44.	FRI	Patient to Patient Sexual Assault	9/3/2021	Referred to Joint Commission for Follow Up
45.	FRI	Alleged Staff to Patient Sexual Assault H54	9/15/2021	Pending Visit
46.	FRI	Elopement/AWOL H34	9/18/2021	Pending Visit
47.	FRI	HAPI Stage 3 coccyx H62	9/28/2021	Pending Visit
48.	FRI	HAPI Stage 3 parietal H56	9/28/2021	Pending Visit
49.	FRI	Fall with intertrochanteric fracture 7C	10/7/2021	Pending Visit
50.	FRI	HAPI Unstageable left hip H44	10/25/2021	Pending Visit
51.	FRI	HAPI Unstageable coccyx and left ischium	9/29/2021	Pending Visit
52.	FRI	Retained penrose drain from surgery in May 2020	11/2/2021	Pending Visit

V. NEW FACILITY REPORTED INCIDENTS (FRI)

COMPLAINT: submitted by public.

FRI: Facility Reported Incident.

ABUSE: Long Term Care CMS self report

- | | | | |
|----|-----------------------|---|------------|
| 1. | Privacy Breach | Unauthorized disclosure of PHI to family member | 10/25/2021 |
| 2. | Privacy Breach | Mis-mailed PHI regarding upcoming procedure, 3D | 11/10/2021 |

VI. COMPLETED SURVEY SUMMARY

No additional information.

VII. PLAN OF CORRECTION MONITORING DATA

Joint Commission TBI Certification Survey

Neuro Checks

Compliance is **>90%**

Continue to monitor A. Winkelman

A pop-up reminder in Epic for when frequent checks are due was implemented on 9/1/21 along with daily reminders in team huddles. Previous partial compliance in documentation for neuro checks has improved in October.

Joint Commission Hospital Accreditation Program Survey

Instrumentation Outside of the OR

Compliance is **>90%** for 3 months

Monitoring completed P. Coggan/G. Otway/R. Ferrer

Administration of Pitocin, As Ordered

Compliance is **>90%** for 3 months

Monitoring completed G. Otway

Storage of Medical Supplies

Compliance is **>90%** for 3 months

Monitoring completed L. Holpit

Restraint Orders and Renewals

Compliance is **>90%** for 3 months

Monitoring completed L. Holpit

Pre/Post Pain Assessments Related to Medication Administration

Compliance is **>90%**

Continue to monitor L. Holpit

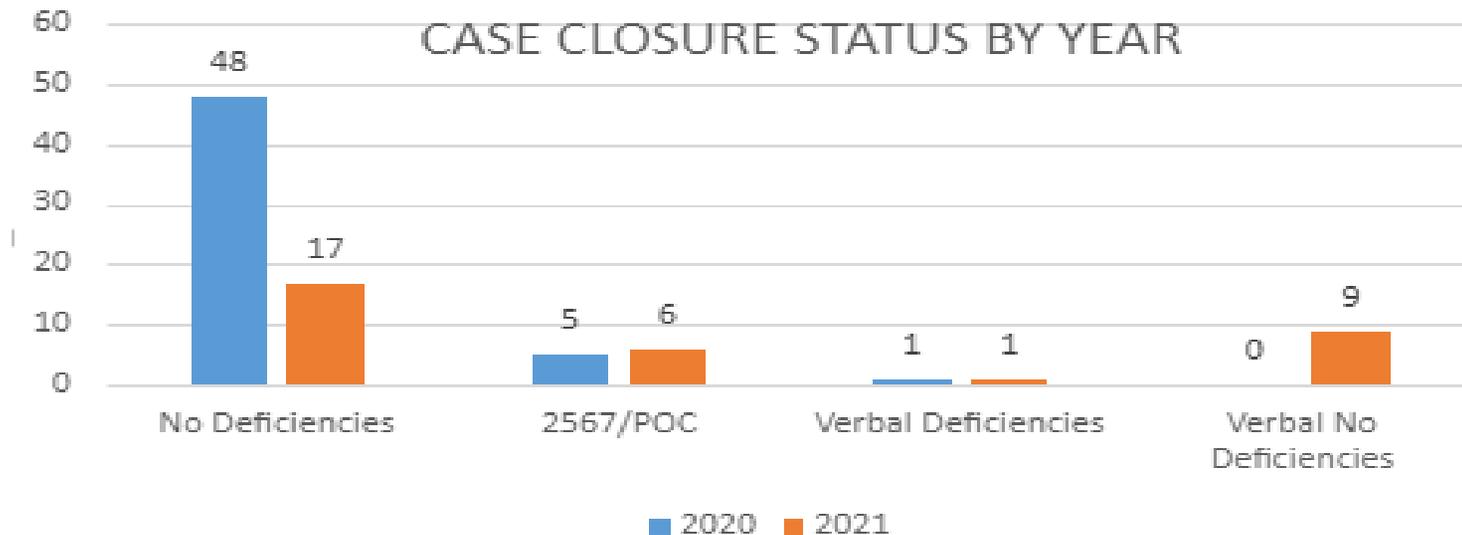
Interpreter Use Documentation

Compliance is **<90%**

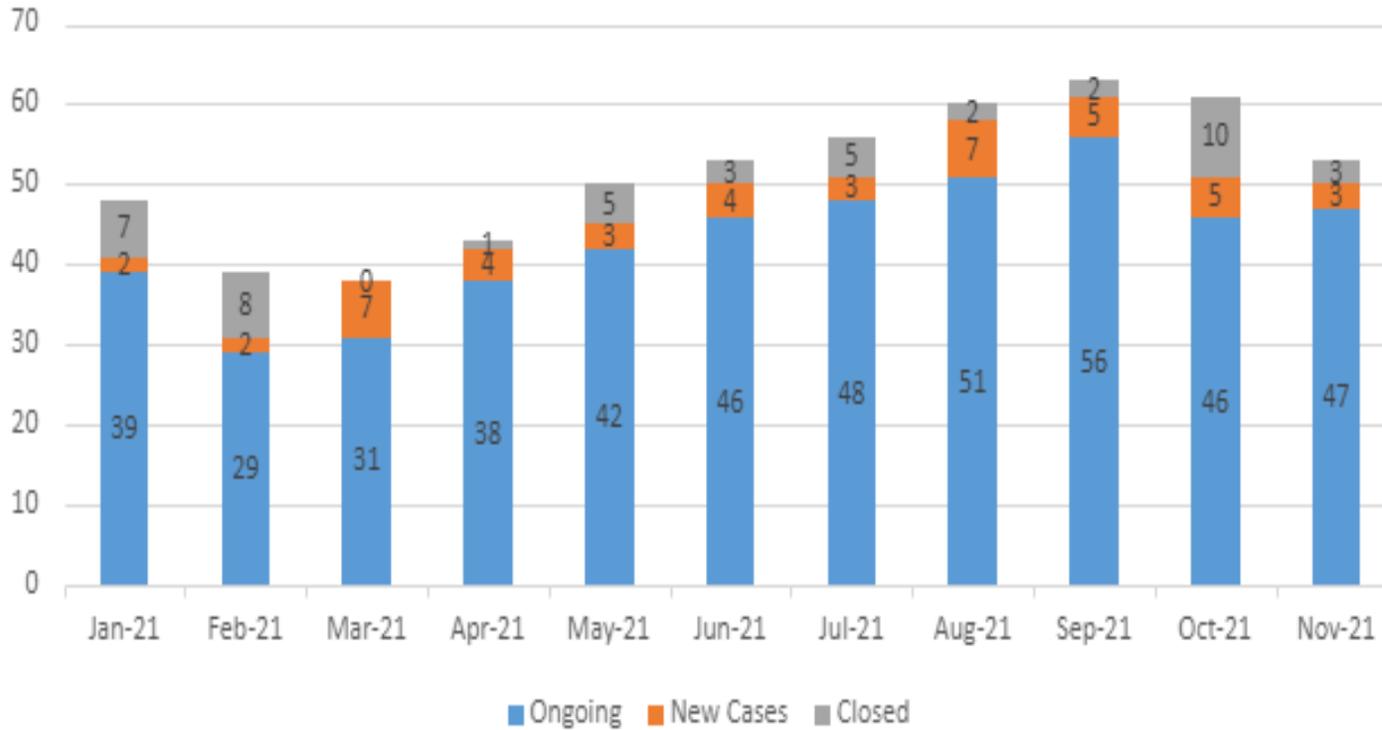
Continue to monitor D. Staconis

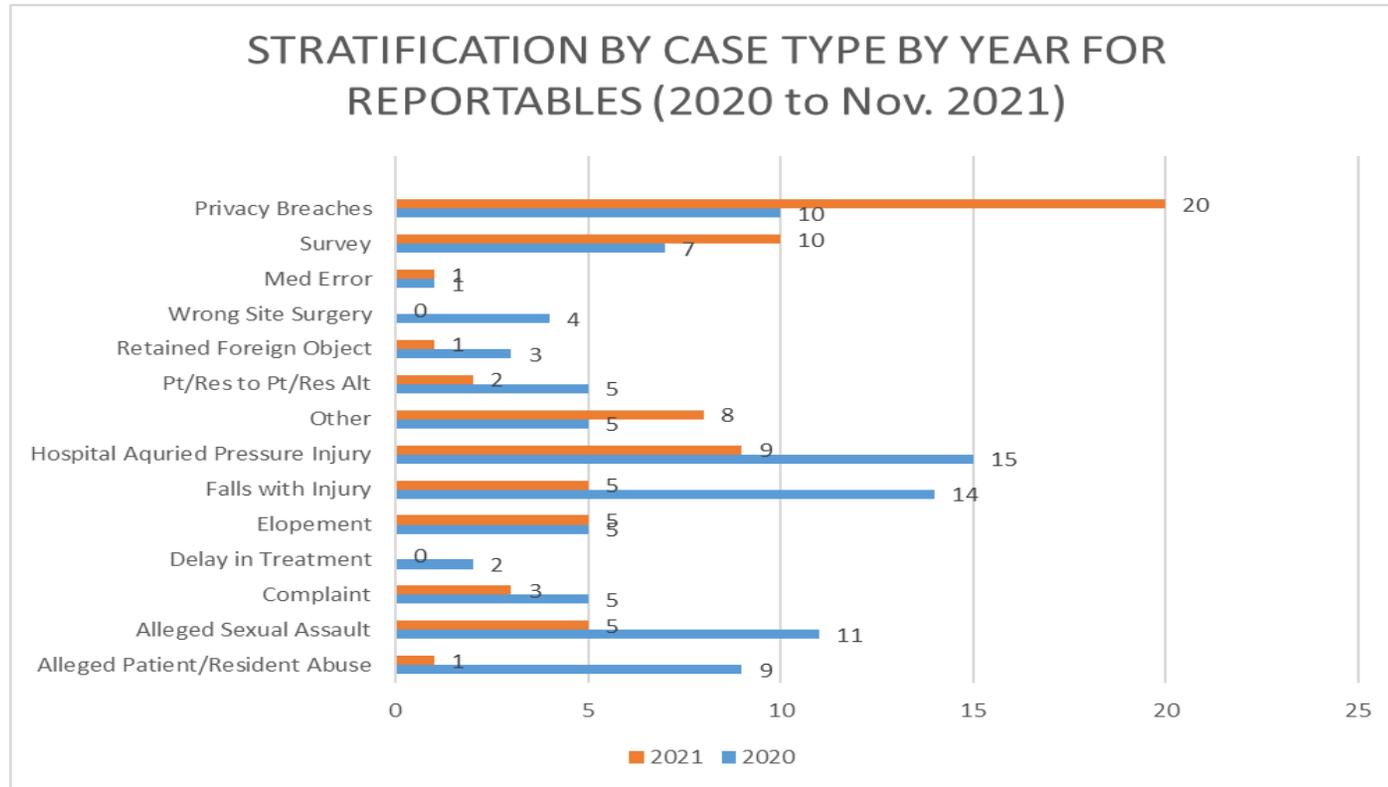
Staff re-education and on-going coaching. In the process of modifying interpreter template in Triage Narrator and ED Navigator.

VIII. DATA/GRAPHS

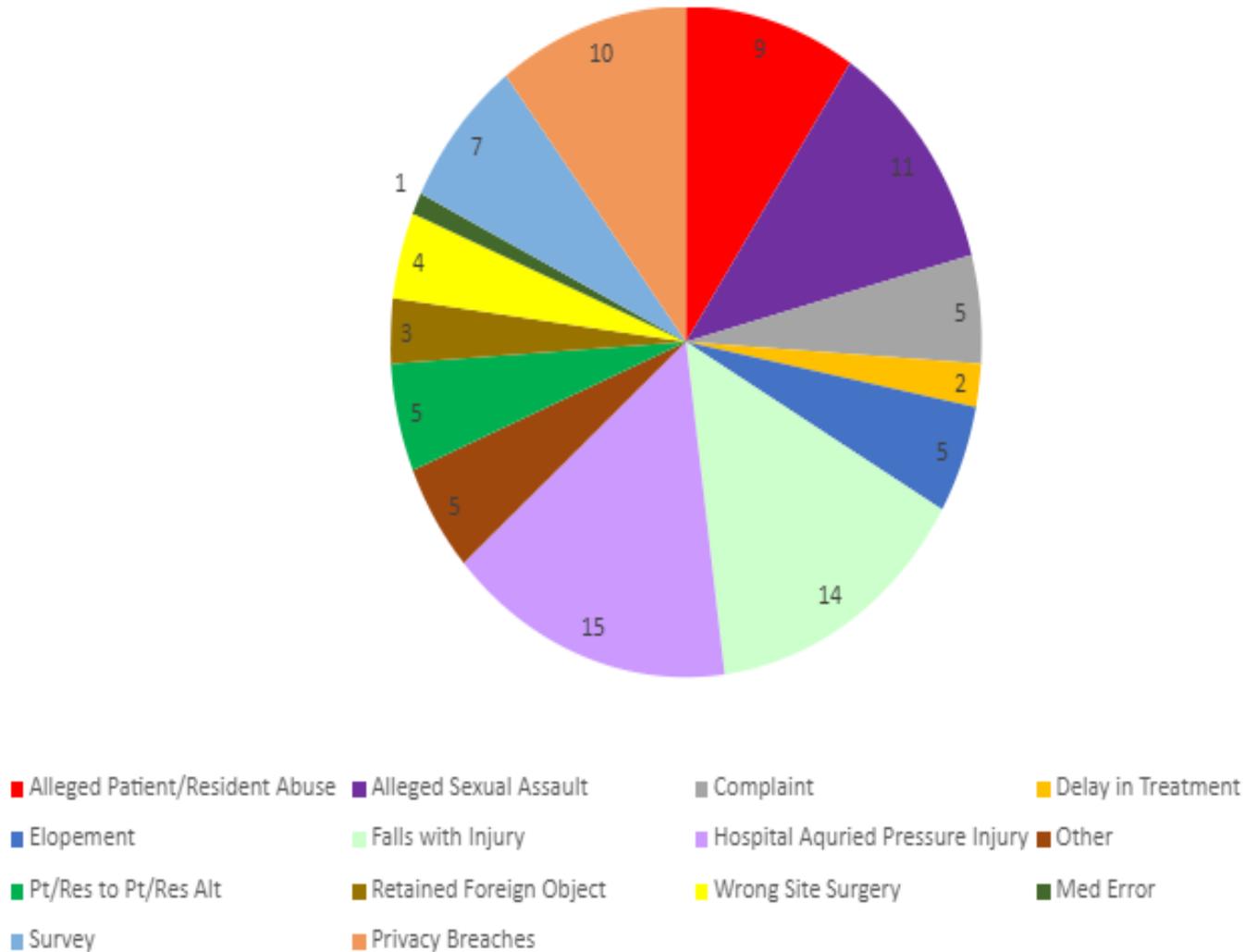


OVERVIEW OF CASE STATUS BY MONTH (Jan. to Nov. 2021)



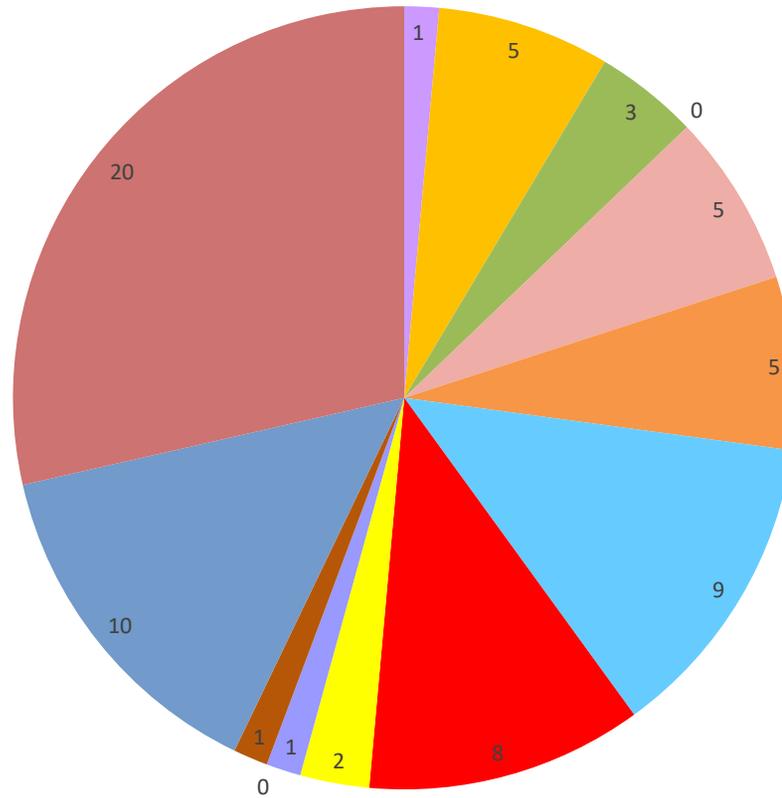


STRATIFICATION BY CASE TYPE OF TOTAL REPORTABLES (2020); N=96



Cases categorized as Other includes: regulatory agency notifications for outbreaks, unplanned maintenance, complications related to procedures performed.

STRATIFICATION BY CASE TYPE OF TOTAL REPORTABLES (2021); N=70



Delay in Treatment = 0
 Wrong Site Surgery = 0

- Alleged Patient/Resident Abuse
- Alleged Sexual Assault
- Complaint
- Delay in Treatment
- Elopement
- Falls with Injury
- Hospital Acquired Pressure Injury
- Other
- Pt/Res to Pt/Res Alt
- Retained Foreign Object
- Wrong Site Surgery
- Med Error
- Survey
- Privacy Breaches

Cases categorized as Other includes: regulatory agency notifications for outbreaks, unplanned maintenance, complications related to procedures performed.